

Public Document Pack



The following reports are Information Items for the attention for the Health Social Care and Wellbeing Scrutiny Committee.

- 1. Children's and Adults Safeguarding Boards Annual Report.**
- 2. Budget Monitoring Report (Month 5).**



HEALTH, SOCIAL CARE AND WELLBEING SCRUTINY COMMITTEE – 24TH OCTOBER 2017

SUBJECT: CHILDREN’S AND ADULTS SAFEGUARDING BOARDS ANNUAL REPORT

REPORT BY: CORPORATE DIRECTOR, SOCIAL SERVICES AND PUBLIC PROTECTION

1. PURPOSE OF REPORT

- 1.1 The attached Annual Report for both the South East Wales Safeguarding Children Board (SEWSCB) and the Gwent Wide Adult Safeguarding Board (GwASB) provides an update on developments across the region for 2016/17. Both Boards are statutory multi-agency partnerships which have responsibility for monitoring the effectiveness of safeguarding practice across the five Gwent Local Authorities and their statutory partners; Health, Police and Probation.

2. SUMMARY

- 2.1 The Safeguarding Business Unit, hosted by Caerphilly County Borough Council, was established to support the work of the Children’s Safeguarding Board. Strategically, with the implementation of the Social Services and Well Being (Wales) Act, it was agreed that the Business Unit should be expanded to incorporate support for the Adults Board. Attached is the first Annual Report written on behalf of both Boards.

3. LINKS TO STRATEGY

- 3.1 Social Services & Well Being (Wales) Act 2014 – Parts 7 & 9 relate directly to safeguarding and partnership working.
- 3.2 Caerphilly County Borough Council Corporate Safeguarding Policy.
- 3.3 Well-Being of Future Generations Act (Wales) 2015.

4. THE REPORT

- 4.1 The attached report includes the 2016/17 membership of both Boards. The report details achievements made by both Boards to date and outlines the remit and priorities for each of the sub-groups that support the effective working of the Boards. In addition, details relating to the range of multi-agency training offered and completed are included.
- 4.2 The work plans for each of the sub-groups are aligned to the Boards’ strategic priorities and this will enable reporting to be smarter and more measurable in future years.

5. WELL-BEING OF FUTURE GENERATIONS

5.1 The work of the regional Safeguarding Boards contributes to the Well-being Goals and the 5 ways of working in the context of strategy and vision, preventing problems occurring or getting worse and collaborating to meet agreed objectives. The work of the Safeguarding Boards' contributes to the following Well-Being Goals:

- Corporate planning
- Risk management
- Workforce planning
- Performance management

6. EQUALITIES IMPLICATIONS

6.1 The Annual Report attached includes an Equalities Statement. The Council's EIA process does not need to be applied in this matter.

7. FINANCIAL IMPLICATIONS

7.1 The attached report includes the budget breakdown for the Safeguarding Business Unit.

8. PERSONNEL IMPLICATIONS

8.1 There are no HR or personnel implications arising from this report.

9. CONSULTATIONS

9.1 The report reflects the views of the consultees.

10. RECOMMENDATIONS

10.1 Scrutiny Committee are requested to note the content of this report.

11. REASONS FOR THE RECOMMENDATIONS

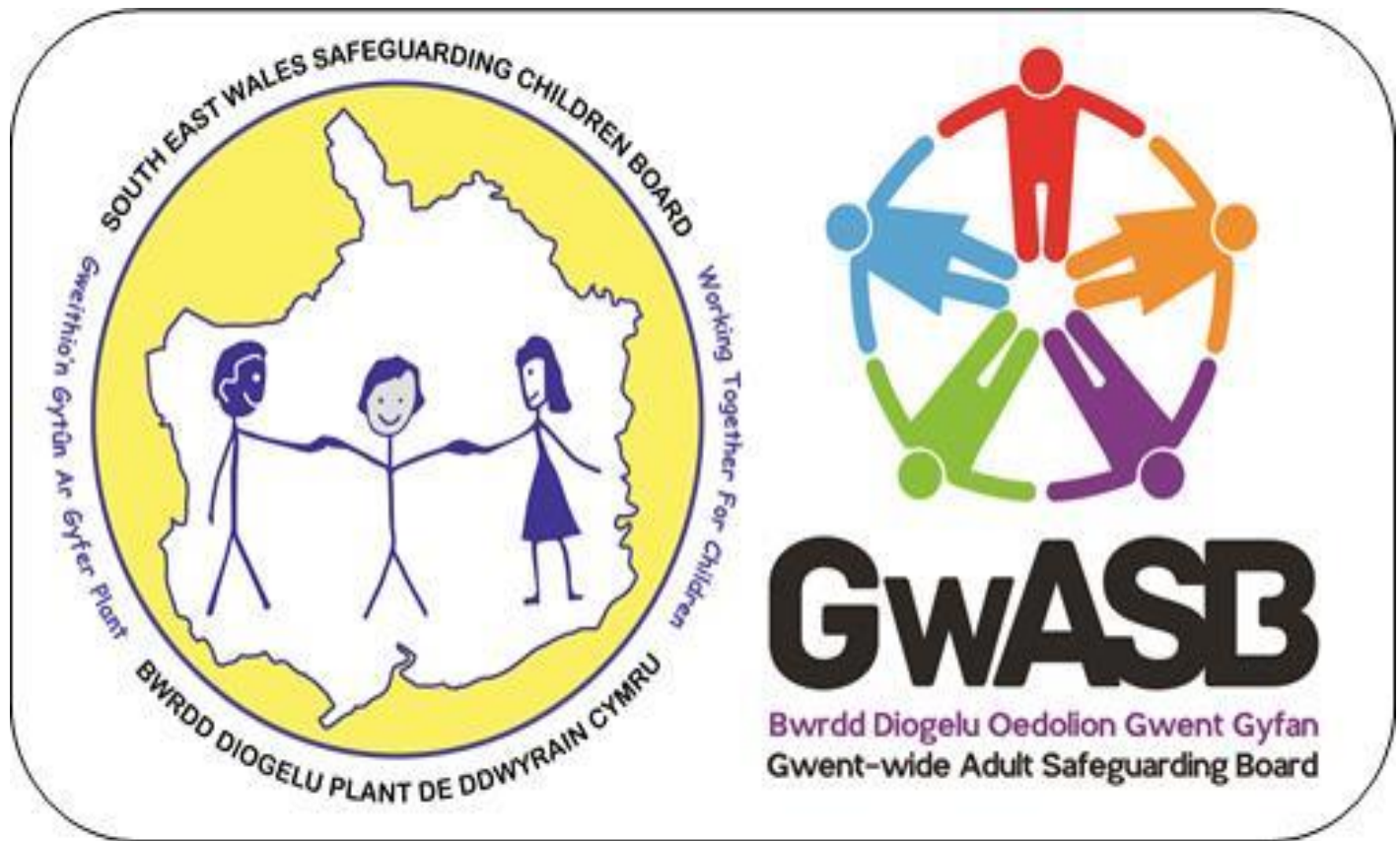
11.1 Scrutiny Committee is apprised of the work of the Regional Safeguarding Boards.

12. STATUTORY POWER

12.1 Social Services and Well Being (Wales) Act 2014.

Authors: Gareth Jenkins, Assistant Director – Children's Services
Consultees: Mel Roach, Regional Safeguarding Business Unit Manager
Social Services Senior Management Team

Appendices:
Appendix 1 South East Wales Safeguarding Children Board (SEWSCB) and the Gwent Wide Adult Safeguarding Board (GwASB) Annual Report 2016 to 2017



ANNUAL REPORT 2016 TO 2017

INTRODUCTION

This is the first annual report which covers the work of both the Gwent wide Adult Safeguarding Board (GwASB) and the South East Wales Safeguarding Children Board (SEWSCB). Work this year has been largely developmental, as decisions were taken to work more collaboratively across both agendas. The support across both Boards is now provided by one Business unit.

Members recognised that The Social Services and Wellbeing Act (Wales) 2014 as well as giving adult safeguarding a statutory footing, gave the region an opportunity to ensure equity across both areas of work. Following the first joint development day for both Adult and Children Board members, which was held in November 2016, a framework was provided to begin work to change the sub structure of both Boards.

This work has included reviewing all of the sub groups of both Boards', their membership, Terms of Reference and work plans. It has also included combining groups where possible. Though this work is in its infancy it has been a largely positive change, which has allowed Board and group members to refocus priorities and ensure work plans are aligned to new legislation and relevant to the work of the Boards.

Our Vision

The Vision for SEWSCB is:

All children and young people in South East Wales are protected from abuse and neglect, live in safe homes and communities and are supported to achieve their full potential.

The Vision for GwASB is:

We protect adults who have care and support needs, and are experiencing or are at risk of, abuse and neglect. We work to prevent further adults across Gwent from becoming at risk of abuse and neglect

Membership

The membership for both Boards is as follows:

SOUTH EAST WALES SAFEGUARDING CHILDREN BOARD MEMBERSHIP	
Duncan Forbes Bron Afon Housing Association Chair	Chief Executive Officer

SOUTH EAST WALES SAFEGUARDING CHILDREN BOARD MEMBERSHIP

<p>Liz Baker Barnardos Cymru Vice Chair</p>	<p>Assistant Director of Children's services</p>
<p>Tanya Evans Chris Bradley Blaenau Gwent Social Services</p>	<p>Head of Children's Services Service Manager</p>
<p>Gareth Jenkins David Thomas Caerphilly Social Services</p>	<p>Assistant Director Of Social services Service Manager</p>
<p>Jane Rodgers Monmouthshire Social Services</p>	<p>Head of Children's Services</p>
<p>Sally Jenkins Mary Ryan Newport Social Services</p>	<p>Head of Children's Services Safeguarding Manager</p>
<p>Bernadette Anderton Deborah Davies Torfaen Social Services</p>	<p>Head of Children's Services Group Manager Safeguarding</p>
<p>Lin Slater Aneurin Bevan University Health Board</p>	<p>Assistant Director of Nursing</p>

SOUTH EAST WALES SAFEGUARDING CHILDREN BOARD MEMBERSHIP

<p>Dr Aideen Naughton Kathy Ellaway Public Health Wales</p>	<p>Designated Doctor, Safeguarding Children Designated Nurse, Safeguarding Children</p>
<p>Nikki Harvey Welsh Ambulance Service Trust</p>	<p>Safeguarding Lead Officer</p>
<p>Leanne Brustad Gwent Police</p>	<p>Detective Superintendent</p>
<p>Simon Locke South East Wales Emergency Duty Team</p>	<p>Team Manager</p>
<p>Dermot McChrystal Torfaen Education</p>	<p>Head of Education</p>
<p>Nic Davies National Probation Service Wales</p>	<p>Head of Gwent Local Delivery Unit</p>
<p>Giles Mason HMP Usk / Prescoed</p>	<p>Governor</p>

SOUTH EAST WALES SAFEGUARDING CHILDREN BOARD MEMBERSHIP

Diana Binding Community Rehabilitation Company	Assistant Chief Executive
Michaela Rogers Youth Offending Services	Service Manager
Rebecca Haycock VAWDASV	Regional Advisor
Bethan Manners Legal Services	Senior Solicitor
Ian Bakewell Monmouthshire Housing	Housing Manager
Peter Kennedy Torfaen Voluntary Alliance	Senior Executive Officer
Martin Featherstone GAVO	Chief Executive Officer
Liz Evans Bron Afon Housing Association	Head of Housing

SOUTH EAST WALES SAFEGUARDING CHILDREN BOARD MEMBERSHIP

Eleri Thomas Office of the Police and Crime Commissioner	Deputy Commissioner
Mel Roach Kelly Richards and Steve Berry SEWSCB Business Unit	Business Unit Manager Development Officer x 2

GWENT WIDE SAFEGUARDING ADULT BOARD MEMBERSHIP

Dave Street Caerphilly C.B.C Chair	Director of Social Services
Lin Slater Aneurin Bevan University Health Board Vice Chair	Assistant Director of Nursing
Alyson Hoskins Blaenau Gwent Social Services	Head of Adult Services
Christopher Bradley	Chair of Blaenau Gwent Local Safeguarding Network
Jo Williams Caerphilly Social Services	Head of Adult Services
David Thomas	Chair of Caerphilly Local Safeguarding Network

GWENT WIDE SAFEGUARDING ADULT BOARD MEMBERSHIP

Julie Boothroyd Monmouthshire Social Services	Head of Adult Services
Ian Bakewell	Chair of Monmouthshire Local Safeguarding Network
Chris Humphrey Newport Social Services	Head of Adult Services
Mary Ryan	Chair of Newport Local Safeguarding Network
Gill Pratlett Torfaen Social Services	Head of Adult Services
Deborah Davies	Chair of Torfaen Local Safeguarding Network
Dr Nigel Farr Public Health Wales	Designated Doctor, Safeguarding
Nikki Harvey Welsh Ambulance Service Trust	Safeguarding Lead Officer
Leanne Brustad Gwent Police	Detective Superintendent
Steve Bartlett	Older People's Commissioner for Wales
Kay Galvin South Wales Fire Service	Head of Education

GWENT WIDE SAFEGUARDING ADULT BOARD MEMBERSHIP	
<p>Nic Davies</p> <p>Heather Nicholls</p> <p>National Probation Service Wales</p>	<p>Head of Gwent Local Delivery Unit</p> <p>Deputy Head Gwent Local Delivery Unit</p>
<p>Giles Mason</p> <p>HMP Usk / Prescoed</p>	<p>Governor</p>
<p>Diana Binding</p> <p>Community Rehabilitation Company</p>	<p>Assistant Chief Executive</p>
<p>Rebecca Haycock</p> <p>VAWDASV</p>	<p>Regional Advisor</p>
<p>Scott Sanders</p> <p>Charter Housing & Derwen Cymru</p>	<p>Director</p>
<p>Peter Kennedy</p> <p>Torfaen Voluntary Alliance</p>	<p>Senior Executive Officer</p>

Budget

The Boards are funded by contributions from statutory partner agencies who have agreed the funding formula as set out in the Welsh Government document, 'Working Together to Safeguard People Volume 1 – Introduction and Overview', the guidance which accompanies the Social Services and Well-being (Wales) Act 2014. This funding resources a Regional Safeguarding Business Unit consisting of a business manager, development officers and administrative support staff who provide support for both children and adult boards and all of the sub groups. The specific costs for this support are set out below.

Total costs	£355,000
Staff costs	£289,000
Day to day running costs	£26,000
Child practice reviews	£4,000
Adult practice reviews	£4,000
Training Programmes	£22,000
Engagement / Websites & Publicity / Professional events (Including National Safeguarding Week)	£10, 000

Equalities Statement

The South East Wales Safeguarding Children Board and the Gwent wide Adult Board will work actively against all forms of discrimination towards and by children and young people, and adults who may be at risk regardless of their individual background and circumstances. Our equalities commitment covers ethnic origin, sex, age, marital status, sexual orientation, disability, gender reassignment, religious beliefs or non-belief, use of Welsh language, British Sign Language and other languages, nationality, responsibility for any dependents or any other reason which cannot be shown to be justified.

What We Have Done So Far - SEWSCB

The South East Wales area has a long history of collaboration across LSCBs and over these years, with the increase of the collaborative agenda, in 2013 the decision to merge the 5 LSCBs in the region was taken. The work started then has been continued by SEWSCB, providing a strong platform from which to start.

Some of the key achievements of that collaborative work include:

- Developed neglect guidance for volunteers and workers to help support them in working with families where neglect is an issue.
- Held a neglect conference for professionals from all parts of the social care workforce
- Trained thousands of volunteers and workers to be able to identify and report concerns about children and work with families where there are issues about abuse and/or neglect
- Consulted with children and young people in a number of engagement events and by using a questionnaire to gather the views of children and young people who are subject to the child protection process
- Used the information provided by these events to inform this plan
- Consulted with parents and developed a range of leaflets with advice and guidance
- Developed websites that provide advice and guidance for children and young people, parents and carers, the public and professionals
- Delivered practice improvements in working with complex families and ‘stuck’ cases
- Developed a group to look at CSE issues
- Produced a suite of information to inform professionals and children and young people about CSE across a variety of different media

- Developed a training programme to equip professionals to keep young people safe when using technology
- Developed a range of protocols and procedures on a regional basis to help professionals during their everyday practice
- Worked closely with the Regional pilot on VAWDASV to improve access to training and advice
- Worked more closely with GWASB (the regional adult safeguarding Board) to improve links across the whole safeguarding agenda
- Participated in national safeguarding week with a variety of events, culminating in a conference for professionals covering safeguarding for adults, children and VAWDASV
- Undertaken a review of how we work and established how best we can provide a more holistic approach to safeguarding

What We Have Done So Far - GwASB

The Gwent area has a long history of collaboration in relation to the protection of vulnerable adults, with the increase of the collaborative agenda; in 2011 the decision to merge the 5 Local Authority areas to form one adult safeguarding board was taken. The work started then has been continued by GwASB, providing a strong platform from which to begin work as the lead statutory partnership for safeguarding adults.

Some of the key achievements of that collaborative work include:

- Consulted with citizens in a variety of engagement events and local and regional forums to gather the views of people about the adult protection process and the safeguarding board
- Used the information provided by these events to inform this plan
- Consulted with service users and developed a range of leaflets with advice and guidance
- Developed websites that provide advice and guidance for, parents and carers, the public and professionals
- Agreed 'branding' and a logo for the Board to ensure the profile of the Board is raised amongst citizens in Gwent
- Produced a quarterly newsletter to update professionals of the work of the Board
- Developed a data set to benchmark Protection of Vulnerable Adults (POVA) activity across the region
- Monitored the performance of care home provision across the region, especially when concerns are flagged up
- Developed a group to undertake an audit around 'falls' in residential care settings
- Used the information from that audit to produce guidance of best practice for care staff
- Supported the scoping of the regional Multi Agency Safeguarding Hub (MASH) initiative
- Developed a range of training modules from basic awareness through to an advanced level to equip professionals to keep adults who require support safe in a variety of settings
- Worked closely with the Regional pilot on VAWDASV to improve access to training and advice
- Worked more closely with SEWSCB (the regional safeguarding children Board) to improve links across the whole safeguarding agenda
- Participated in national safeguarding week with a variety of events, culminating in a conference for professionals covering safeguarding for adults, children and VAWDASV

- Undertaken a review of how we work and established how best we can provide a more holistic approach to safeguarding
- We have reviewed and revised the terms of reference and membership of all of our sub groups and combined the work of some, to ensure best use of agencies time and resources

Detailed work of the Sub groups

Case Review Group – (Formerly Child Practice Review Group, Practice Development group and Adult Practice Review Group).

This is a new group which works across both adult and children. Some of the work completed to date:

- Agreed new Terms of Reference
- Agreed role profiles for chair, vice chair and members
- Agreed new flow charts to simplify the process of undertaking reviews
- Quality assured child practice reviews and multi agency professional forums prior to final submission to Boards
- Worked on a joint approach to domestic homicide reviews with colleagues from the regional violence against women domestic abuse and sexual violence (VAWDASV) team
- Completed three child practice reviews and agreed action plans for each

Business Planning Group

This is a completely new group designed to ensure governance across the work of the sub groups, and to receive reports from each of those groups enabling a full reporting structure to both Boards. Some of the work completed to date:

- Agreed new Terms of Reference
- Provided a forum for all other group chairs to meet and contribute to the safeguarding agenda across work areas
- Contributed to the development of the strategic Plans for both Boards
- Ensured a two way flow of communication between strategic boards and sub groups
- Provided a reporting in framework for other regional forums such as MASE meetings

Quality Assurance and Performance Group (Adults) – formerly Adults Quality Assurance Group

This is one of the sub groups that has remained as ‘adults only’ as members decided that both performance management groups were at different levels of development:

- Agreed new Terms of Reference
- Transferred the work plan from the previous QA group and completed any outstanding actions

- Closed down the previous work plan and adopted new priorities based on the new strategic plan
- Began work to move from a core data set to a more comprehensive performance management framework

Quality Assurance and Performance Group (Children) – formerly Practice Development Group

This is the only other sub group that has remained as ‘children only’ as members decided that both performance management groups were at different levels of development:

- Agreed new Terms of Reference
- Transferred work from the previous practice development work plan and completed or carried over any outstanding actions
- Agreed a programme of work
- Continued to monitor and complete actions from previously agreed child practice reviews and serious case reviews
- Began work to produce a comprehensive performance management framework which will inform Board members of the picture of safeguarding children across Gwent

Protocols and Procedures Group – formerly Protocols and procedures for children only

This group has begun work across both children and adult boards. There has never been a group which has considered protocols for adults previously.

- Agreed new Terms of Reference
- Reviewed the previous children’s work plan and revised in light of legislative changes
- Included work which spans the adult agenda, with plans to produce guidance and toolkits for practitioners across both areas of work
- Aligned priorities to comply with the review of the All Wales Child Protection Procedures and the draft adult protection procedures
- Maintained close links with the development of the National Protection Procedures

Engagement and Communication Group - formerly Engagement and Communication for children and Adults.

This is a new group which now combines work across both areas and works to include all citizens in the work of the Boards’.

- Agreed new Terms of reference
- Reviewed the work plans of both previous groups and carried over outstanding items
- Aligned previous priorities with new legislative changes
- Included representation from Gwent Citizen’s Panel as a standing group member
- Agreed to engage with children and young people by a variety of methods and forms
- Agreed to report to VAWDASV strategic Board

- Agreed to engage with survivors of Domestic abuse by a variety of methods and forums
- Agreed to have a standing project group to support website development and updates

Learning and Development Group – formerly Adults Training group and Childrens Training group

This is a new group which has combined the work of training across both children and adults whilst acknowledging both were at different stages of development. It is hoped that this merger will increase consistency across both areas of work.

- Agreed new Terms of Reference
- Reviewed and revised work programmes of each group
- Agreed a number of task and finish groups to look at quality assurance of training provision, putting adults training provision on an equal footing with children
- Moved away from the train the trainer model to that of a delivery group model which is more supportive and inclusive for professionals who provide resources to the board
- Acknowledged that provision for professionals in Children safeguarding is good but that this needs to be matched for professionals in adult safeguarding.

Details of the training provided by SEWSCB for the financial year 2016 to 2017 is provided below:

How much have we done																
SEWSCB Training courses delivered between April 1st 2016 – 31st March 2017																
Course Title	No of Sessions	A.B.U.H.B.	Education/ Schools	SSD	LA	YOS	Police	Probation	Housing	Barnardos	GAVO	NYAS	Voluntary/ 3 rd Sector	Fire	GDAS	Private
Child Protection Planning	5	9	9	34	2	3		2	5			1	3		2	
Hidden Sentence	5	12	10	14	2	3	1	7	4	6			6		4	
Managing Y.P. Engaged in HSB	5	8	31	38	6	5							9			1
Neglect	4	5	13	19	10	2		3	3	2	1		4		2	1
NPS Awareness	4	10	12	12	13	2	6	6	3	1			1		2	
Level 2 R & R	1		12	6	1	1				1					3	1
Introduction to Safeguarding - ½ day	23	83	85	46	62	9	1	10	15	1			33		11	9
Safeguarding Children RR & CPP - 1 day	2	6	21	2	1	1							1		4	
Safety with Technology	11	26	61	46	15	2			4	7			16			5
SERAF	8	24	15	43	3	2		11	2	2			8		2	4
Sexual Awareness	5	27	18	25	2	3	6	2	4	1			7		1	
Self Harm & Suicide	2	9	13	14	1		1	2	1	1						
TOTAL:	75	219	300	299	118	33	15	43	41	22	1	1	88	0	31	21

SEWSCB - Number of nominations, attendees, apologies and no shows per course					
Course Title	Number of Nominations	Number of Attendees	Number of Apologies/cancellations	Number of No Shows	Walk In
Child Protection Planning	102	70	10	5	1
Hidden Sentence	97	69	5	7	2
Managing Y.P. engaged in HSB	126	98	13	2	1
Neglect	166	65	12	5	1
NPS Awareness	130	68	11	2	0
Level 2 R & R	47	25			4
Introduction to Safeguarding - ½ day	447	365	32	29	9
Safeguarding Children RR & CPP - 1 day	79	36	6		3
Safety with Technology	223	182	6	6	6
SERAF	191	116	19	8	5
Sexual Awareness	157	96	9	10	2
Self Harm & Suicide	51	42	3	2	1
Total	1816	1232	126	76	35

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How much have we done	
Hits to SEWSCB website between 1 st April 2016 – 31 st March 2017 = 59,934	No of Registered Users of SEWSCB Booking system as at 31.03.17 – 3,895

How much have we done

VAWDASV Training courses delivered between April 1st 2016 – 31st March 2017

Course Title	No of Sessions	A.B.U.H.B.	Education/ Schools	SSD	LA	YOS	Police	Probation	Housing	Barnardos	HMP	Fire	Voluntary/ 3rd Sector	CPS	GDAS	NYAS
Coercive Control	1	2		10				1	3	2			2			
Domestic Abuse Awareness	10	22	10	68	8	4	5	1	10	3	1	1	16	1	11	
DASH & MARAC	7	19	2	35	4	6	1	2	10	5	1	1	7		21	
Domestic Abuse & Effects on Children	1	2	1	8	1	2				1			1			
Forced Marriage & Honour Based Violence	1			8		1	2	1					1		4	
Sexual Violence	2	2	1	14	1	2		1	4	4			2			
Understanding Perpetrators	2			14	2			1	2	1			5	1	2	1
Working with Victims	1	2		1					2				4			
Working with Men who suffer D.A.	1	1		3					4				3		2	
Total	26	50	14	161	16	15	8	7	35	16	2	2	41	2	40	1

VAWDASV - Number of nominations, attendees, apologies and no shows per course					
Course Title	Number of Nominations	Number of Attendees	Number of Apologies/cancellations	Number of No Shows	Walk In
Coercive Control	28	20	0	0	3
Domestic Abuse Awareness	221	161	13	16	6
Domestic Abuse & Effects on Children	32	16	3	0	0
DASH & MARAC	172	114	15	11	1
Forced Marriage & Honour Based Violence	21	17	4	0	1
Sexual Violence	44	31	0	2	0
Understanding Perpetrators	48	29	5	4	0
Working with Victims	10	9	0	0	0
Working with Men who suffer D.A.	23	13	0	2	0
Total	599	410	40	35	11

Conclusion

Whilst we can evidence that much work has taken place across the region we are aware that there is much further to go. Most of the work of the new sub groups has been developmental to this stage and we are confident that we can build on this work in future years.

The work plans for all groups are now aligned to the Boards' strategic priorities and reports in future years will be able to be smarter and more measurable as work streams are built upon year on year. To date no Adult Protection and Support orders have been obtained in the Gwent region, but we are mindful of national training to equip professionals in this important area of work.

We also acknowledge that work on Adult Practice Reviews is in its infancy in the region but we are confident we can utilise the skills of our children's workforce to help us progress this important area of work.

Lastly we are very proud of the collaboration that has taken place in the region with the VAWDASV strategic partnership. As teams the Business unit and VASDASV support unit work very closely together, often sharing office space and skills which enhance each others understanding of our work. This has helped us to minimise costs as we utilise this shared space for meetings and training venues.



HEALTH, SOCIAL CARE AND WELLBEING SCRUTINY COMMITTEE – 24TH OCTOBER 2017

SUBJECT: BUDGET MONITORING REPORT (MONTH 5)

REPORT BY: CORPORATE DIRECTOR, SOCIAL SERVICES AND PUBLIC PROTECTION

1. PURPOSE OF REPORT

- 1.1 To inform Members of projected revenue expenditure for the Social Services Directorate for the 2017/18 financial year.
- 1.2 To update Members on the progress made against the savings targets built in to the 2017/18 revenue budget for the Directorate.

2. SUMMARY

- 2.1 The report summarises the projected financial position for the Social Services Directorate for the 2017/18 financial year based on information available as at month 5 (August 2017). It identifies budget pressures relating to the delivery of frontline services particularly within Children's Services and highlights some potential issues that could lead to increased costs within Adult Services. Full details are attached at Appendix 1.

3. LINKS TO STRATEGY

- 3.1 The expenditure of the Directorate is linked directly to its ability to shape and deliver its strategic objectives, which in turn assists the achievement of the Authority's stated aims and well-being goals.
- 3.2 Effective financial planning and financial control contribute to the following Well-being Goals within the Well-being of Future Generations Act (Wales) 2015: -
 - A prosperous Wales.
 - A resilient Wales.
 - A healthier Wales.
 - A more equal Wales.
 - A Wales of cohesive communities.
 - A Wales of vibrant culture and thriving Welsh Language.
 - A globally responsible Wales.

4. THE REPORT

- 4.1 The 2017/18 month 5 position is a projected Directorate overspend of £1.520m. This equates to an increase of £357k since the month 3 position was reported to Members on 12th September 2017 which can be attributed almost entirely to a net increase in Child Care placements. The position is summarised in the table below: -

Division	Revised Budget (£000's)	Projection/ Commitment (£000's)	Over/(Under) Spend (£000's)
Children's Services	21,318	23,492	2,174
Adult Services	57,087	56,515	(572)
Service Strategy & Business Support	2,594	2,512	(82)
Totals: -	80,999	82,519	1,520

4.2 Children's Services

4.2.1 The Children's Services Division is currently projected to overspend its budget by £2,174k as summarised in the following table: -

	Revised Budget (£000's)	Projection/ Commitment (£000's)	Over/(Under) Spend (£000's)
Management, Fieldwork & Administration	8,767	8,517	(250)
External Residential Care	2,398	4,930	2,532
Fostering & Adoption	7,293	7,515	222
Youth Offending	395	395	0
Families First	75	13	(62)
After Care Support	745	672	(73)
Other Costs	1,645	1,450	(195)
Totals: -	21,318	23,492	2,174

Management, Fieldwork and Administration

4.2.2 In response to the anticipated reductions in Welsh Government funding over the forthcoming financial years, a prudent approach to vacancy management has been adopted. This has led to a projected underspend of £250k against Management, Fieldwork and Administration posts within the Division.

Child Care Placement Costs

4.2.3 An overall overspend of £2,754m is projected in respect of residential placements, fostering and adoption support. Members will be aware of one particular residential placement that was included in the forecasts reported to the HSC&Wb Scrutiny Committee on 12th September at a weekly cost of £16,500 per week. While an alternative placement has since been identified for this individual saving around £220k, additional children with challenging behaviour have entered residential placements resulting in a net increase in forecasted costs of £355k since the month 3 position was reported to members in September. This forecast assumes that all current placements will remain in place throughout the financial year but every effort will continue to be made to identify more cost effective arrangements.

Families First

4.2.4 The £62k underspend in respect of Families First is due to additional Welsh Government funding being made available to fund the core staff team.

Aftercare

4.2.5 An underspend of £73k is projected in respect of Aftercare Services and reflects the numbers of 16 to 18 year olds currently in receipt of leaving care services.

Other Costs

4.2.6 The projected £195k underspend for 'Other Costs' is largely the result of maximising the Edge of Care Grant funding from Welsh Government. The work of the Immediate Response Team has been re-focused towards achieving the objectives of this specific grant funding and therefore the cost of the team will be funded through this grant.

4.3 **Adult Services**

4.3.1 The Adult Services Division is currently projected to underspend its revised budget by £572k as summarised in the following table: -

	Revised Budget (£000's)	Projection/Commitment (£000's)	Over/(Under) Spend (£000's)
Management, Fieldwork & Administration	7,636	7,148	(488)
Own Residential Care and Supported Living	5,923	5,761	(162)
External Residential Care	13,567	13,535	(32)
Own Day Care	4,344	4,160	(184)
External Day Care	1,163	1,218	55
Supported Employment	71	68	(3)
Aid and Adaptations	783	654	(129)
Home Assistance and Reablement (excl. Frailty)	10,981	11,081	100
Gwent Frailty Programme	2,274	2,167	(107)
Other Domiciliary Care	11,225	11,522	297
Resettlement	(1,020)	(1,020)	0
Supporting People	0	472	472
Other Costs	713	649	(64)
Additional Social Care Workforce Costs	0	240	240
Social Care Workforce Grant	(573)	(1,140)	(567)
Totals: -	57,087	56,515	(572)

Management, Fieldwork and Administration

4.3.2 The £488k underspend in Management, Fieldwork and Administration can be attributed to a prudent approach to vacancy management.

Own Residential Care and Supported Living

4.3.3 The £162k underspend in this area includes around £85k in respect of Clos Tir y Pwll Supported Living Home. This home is currently under occupied and staffing levels have been adjusted as a result. The remainder of this underspend can be attributable to staffing vacancies at Min y Mynydd and Beatrice Webb Residential Homes for Older People. A review of staff rotas has enabled these vacancies to be held for an extended period with no detriment to service provision and will help the Division meet its Medium Term Financial Plan savings targets.

Own Day Care

4.3.4 Around £61k of the underspend of £184k within our own day care services is due to temporary staffing vacancies within the Mental Health Community Support Team. The remainder of this underspend can be attributed to a prudent approach to vacancy management which will help the Division meet its Medium Term Financial Plan savings targets.

Aids and Adaptations

- 4.3.5 The £128k underspend is due to a repayment from the Gwent Wide Integrated Community Equipment Service (GWICES) in respect of unspent funding from 2016/17.

Gwent Frailty Programme

- 4.3.6 Underspending across the Gwent Frailty Programme largely resulting from short term vacancies is likely to result in a £107k reduction in the contribution required from Caerphilly Social Services.

Supporting People

- 4.3.7 Demand for services linked to homelessness, mental health issues, substance misuse and floating support arrangements has continued to grow throughout the financial year while Welsh Government grant funding has remained at the 2016/17 level. This has contributed to a potential overspend of £472k.

Costs of Care Packages

- 4.3.8 An overall overspend of £93k is projected for 2017/18 in respect of packages of care commissioned through the independent sector or through the in-house Home Assistance and Reablement Team as demonstrated in the table below:-

	Revised Budget (£000's)	Projection/ Commitment (£000's)	Over/(Under) Spend (£000's)
External Residential Care	13,567	13,535	(32)
External Day Care	1,163	1,218	55
Home Assistance and Reablement (excl. Frailty)	10,981	11,081	100
Other Domiciliary Care	11,225	11,522	297
Additional Social Care Workforce Costs	0	240	240
Social Care Workforce Grant	(573)	(1,140)	(567)
Totals: -	36,363	36,456	93

- 4.3.9 The overall cost of care packages for adults has increased by £660k since the budget was set at the beginning of the year mainly resulting from fee increases aimed at resolving workforce issues within the independent sector. These pressures have largely been offset by a second tranche of Welsh Government Social Care Workforce Grant funding targeted at tackling these workforce issues which has resulted in the net overspend of £93k.
- 4.3.10 Demand for these services is difficult to predict and can fluctuate significantly, particularly through the winter months and with an ageing population it is quite possible that this overspend could increase throughout the financial year.

Other Costs

- 4.3.11 An underspend of £64k is predicted against other Adult Services budgets largely as a result of a review of voluntary sector contracts which will help the Division meet its Medium Term Financial Plan savings targets.

4.4 Service Strategy & Business Support

- 4.4.1 This service area is currently projected to underspend by £82k as summarised in the following table: -

	Revised Budget (£000's)	Projection/ Commitment (£000's)	Over/(Under) Spend (£000's)
--	--------------------------------	--	------------------------------------

Management and Administration	1,124	1,081	(43)
Office Accommodation	467	448	(19)
Office Expenses	169	169	0
Other Costs	834	814	(20)
Totals: -	2,594	2,512	(82)

4.4.2 The underspend of £82k in respect of Business Support includes a £43k underspend resulting from vacancy savings and salary spinal point savings and a £19k underspend resulting from office re-locations. The remainder of the underspend is attributable to the Joint Workforce Development Team.

4.5 **Progress Made Against the 2017/18 Revenue Budget Savings Targets**

4.5.1 The 2017/18 budget for Social Services included a savings target of £563k. At the HSC&Wb Scrutiny Committee held on 12th September, members were made aware that the following actions have been successfully implemented and have delivered the savings target in full and on a recurring basis:-

ACTION TAKEN	SAVING ACHIEVED £000s
Review of staffing structures across Children's Services	218
Review of staffing structures across Adult Services	176
Review of business support staffing across the directorate	95
Review of staffing structures across Financial Services	54
Realignment of Office Accommodation budgets	20
	563

5. **WELL-BEING OF FUTURE GENERATIONS**

5.1 Effective financial management is a key element in ensuring that the Well-being Goals within the Well-being of Future Generations Act (Wales) 2015 are met.

6. **EQUALITIES IMPLICATIONS**

6.1 This report is for information purposes, so the Council's Equalities Impact Assessment process does not need to be applied.

7. **FINANCIAL IMPLICATIONS**

7.1 As detailed throughout the report.

8. **PERSONNEL IMPLICATIONS**

8.1 There are no direct personnel implications arising from this report.

9. **CONSULTATIONS**

9.1 There are no consultation responses that have not been reflected in this report.

10. RECOMMENDATIONS

- 10.1 Members are asked to note the projected overspend of £1,520k against the Directorate's budget for 2017/18.
- 10.2 Members are asked to note the potential increases in costs resulting from further increases in demand while recognising the efforts being made by officers to reduce placement costs.

11. REASONS FOR THE RECOMMENDATIONS

- 11.1 To ensure Members are apprised of the latest financial position of the Directorate.

12. STATUTORY POWER

- 12.1 Local Government Act 1972 and 2000.

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Consultees: Social Services Senior Management Team
Stephen Harris (Interim Head of Corporate Finance)

Appendices:
Appendix 1 Social Services 2017/18 Budget Monitoring Report (Month 5)

APPENDIX 1 – Social Services 2017/18 Budget Monitoring Report (Month 5)

	Revised Budget 2017/18 £	Projection £	Over/(Under) Spend £
SUMMARY			
CHILDREN'S SERVICES	21,318,016	23,492,552	2,174,536
ADULT SERVICES	57,087,075	56,514,889	(572,186)
RESOURCING AND PERFORMANCE	2,593,436	2,511,820	(81,616)
SOCIAL SERVICES TOTAL	80,998,527	82,519,261	1,520,734
CHILDREN'S SERVICES			
Management, Fieldwork and Administration			
Children's Management, Fieldwork and Administration	8,917,924	8,666,648	(251,276)
Intermediate Care Fund Contribution	(150,842)	(149,752)	1,090
Sub Total	8,767,082	8,516,896	(250,186)
External Residential Care Including Secure Accommodation			
Gross Cost of Placements	2,538,598	5,139,031	2,600,433
Contributions from Education	(139,961)	(208,331)	(68,370)
Contributions from Health	0	0	0
Sub Total	2,398,637	4,930,700	2,532,063
Fostering and Adoption			
Gross Cost of Placements	6,296,803	6,531,733	234,930
Other Fostering Costs	109,361	117,737	8,376
Adoption Allowances	141,349	120,172	(21,177)
Other Adoption Costs	352,348	352,348	0
Professional Fees Inc. Legal Fees	392,891	392,891	0
Sub Total	7,292,752	7,514,880	222,128
Youth Offending			
Youth Offending Team	395,152	395,152	0
Sub Total	395,152	395,152	0
Families First			
Families First Team	237,365	175,550	(61,815)
Other Families First Contracts	2,584,694	2,584,694	0
Grant Income	(2,747,197)	(2,747,197)	0
Sub Total	74,862	13,047	(61,815)
Other Costs			
Equipment and Adaptations	32,129	63,213	31,084
Preventative and Support - (Section 17 & Childminding)	72,536	72,536	0
Local Safeguarding Children Board	70,000	70,000	0
Appropriation from Specific Reserve	(70,000)	(70,000)	0
Aftercare	744,512	672,385	(72,127)
Respite Care	223,425	211,240	(12,185)
Agreements with Voluntary Organisations	1,086,116	1,090,830	4,714
Other	230,813	11,674	(219,139)
Sub Total	2,389,531	2,121,877	(267,654)
TOTAL CHILDREN'S SERVICES	21,318,016	23,492,552	2,174,536

	Revised Budget 2017/18 £	Projection £	Over/(Under) Spend £
ADULT SERVICES			
Management, Fieldwork and Administration			
Management	122,175	128,603	6,428
Protection of Vulnerable Adults	187,782	228,613	40,831
OLA and Client Income from Client Finances	(190,314)	(280,709)	(90,395)
Commissioning	620,962	618,114	(2,848)
Section 28a Income Joint Commissioning Post	(17,175)	(17,175)	0
Older People	2,396,896	2,270,799	(126,097)
Practice Based Social Work	0	520,158	520,158
ICF Funding	0	(265,887)	(265,887)
Contribution from ABUHB	0	(150,000)	(150,000)
Less Wanless Income	(44,747)	(44,747)	0
Physical Disabilities	1,563,101	1,723,771	160,670
Provider Services	379,515	389,632	10,117
ICF Funding	0	(128,884)	(128,884)
Learning Disabilities	770,893	661,760	(109,133)
Contribution from Health and Other Partners	(39,928)	(42,447)	(2,519)
Mental Health	1,341,698	1,276,764	(64,934)
Section 28a Income Assertive Outreach	(94,769)	(94,769)	0
Drug & Alcohol Services	343,020	371,676	28,656
Further Vacancy Savings	0	(225,150)	(225,150)
Additional Leave accrued from additional hours	37,080	0	(37,080)
Emergency Duty Team	260,113	207,923	(52,190)
Sub Total	7,636,302	7,148,045	(488,257)
Own Residential Care			
Residential Homes for the Elderly	6,380,656	6,251,802	(128,854)
Intermediate Care Fund Contribution	(97,387)	(97,387)	0
-Less Client Contributions	(2,158,311)	(2,204,068)	(45,757)
-Less Section 28a Income (Ty Iscoed)	(115,350)	(115,350)	0
-Less Inter-Authority Income	(40,000)	(10,020)	29,980
Net Cost	3,969,608	3,824,977	(144,631)
Accommodation for People with Learning Disabilities	2,326,048	2,308,819	(17,229)
-Less Client Contributions	(63,437)	(63,437)	0
-Less Contribution from Supporting People	(79,386)	(79,386)	0
-Less Inter-Authority Income	(230,000)	(230,000)	0
Net Cost	1,953,225	1,935,996	(17,229)
Sub Total	5,922,833	5,760,973	(161,860)
External Residential Care			
Long Term Placements			
Older People	9,156,223	9,107,021	(49,202)
Less Wanless Income	(303,428)	(303,428)	0
Less Section 28a Income - Allt yr yn	(151,063)	(151,063)	0
Physically Disabled	510,608	501,356	(9,252)
Learning Disabilities	3,141,902	3,113,480	(28,422)
Mental Health	851,894	906,657	54,763
Substance Misuse Placements	57,747	57,747	0
Net Cost	13,263,883	13,231,770	(32,113)

	Revised Budget 2017/18 £	Projection £	Over/(Under) Spend £
Short Term Placements			
Older People	207,404	207,404	0
Carers Respite Arrangements	0	180,005	180,005
Carers Respite Grant	0	(180,005)	(180,005)
Physical Disabilities	40,342	40,342	0
Learning Disabilities	15,945	15,945	0
Mental Health	39,562	39,562	0
Net Cost	303,253	303,253	0
Sub Total	13,567,136	13,535,023	(32,113)
Own Day Care			
Older People	869,970	821,775	(48,195)
-Less Attendance Contributions	(16,869)	(30,000)	(13,131)
Learning Disabilities	2,969,814	2,892,219	(77,595)
-Less Attendance Contributions	(20,691)	(20,691)	0
-Less Inter-Authority Income	(45,523)	(30,395)	15,128
Mental Health	668,960	608,093	(60,867)
-Less Section 28a Income (Pentrebane Street)	(81,366)	(81,366)	0
Sub Total	4,344,295	4,159,635	(184,660)
External Day Care			
Elderly	5,245	2,020	(3,225)
Physically Disabled	203,811	155,648	(48,163)
Learning Disabilities	959,423	1,096,595	137,172
Section 28a Income	(72,659)	(72,659)	0
Mental Health	66,854	36,686	(30,168)
Sub Total	1,162,674	1,218,290	55,616
Supported Employment			
Mental Health	71,672	68,088	(3,584)
Sub Total	71,672	68,088	(3,584)
Aids and Adaptations			
Disability Living Equipment	531,241	405,622	(125,619)
Adaptations	241,342	241,342	0
Chronically Sick and Disabled Telephones	10,214	7,030	(3,184)
Sub Total	782,797	653,994	(128,803)
Home Assistance and Reablement			
Home Assistance and Reablement Team			
Home Assistance and Reablement Team (H.A.R.T.)	3,280,011	3,552,531	272,520
Wanless Funding	(67,959)	(67,959)	0
Independent Sector Domiciliary Care			
Elderly	6,212,593	6,220,742	8,149
Physical Disabilities	972,734	784,700	(188,034)
Learning Disabilities (excluding Resettlement)	287,420	314,150	26,730
Community Living	0	(205)	(205)
Mental Health	296,163	276,794	(19,369)
Gwent Frailty Programme	2,273,886	2,167,554	(106,332)
Sub Total	13,254,848	13,248,307	(6,541)

	Revised Budget 2017/18 £	Projection £	Over/(Under) Spend £
Other Domiciliary Care			
Supported Living			
Adult Placement Scheme	992,971	977,784	(15,187)
-Less Contribution from Supporting People	(132,252)	(138,700)	(6,448)
Net Cost	860,719	839,084	(21,635)
Supported Living			
Older People	49,853	48,739	(1,114)
-Less Contribution from Supporting People	0	0	0
Physical Disabilities	1,039,535	1,420,406	380,871
-Less Contribution from Supporting People	(53,447)	(47,450)	5,997
Learning Disabilities	7,348,699	7,809,637	460,938
Less Section 28a Income Joint Tenancy	(28,987)	(28,987)	0
-Less Contribution from Supporting People	(769,870)	(754,206)	15,664
Mental Health	2,408,208	1,906,720	(501,488)
-Less Contribution from Supporting People	(27,219)	(27,219)	0
Net Cost	9,966,772	10,327,641	360,869
Direct Payment			
Elderly People	123,633	154,870	31,237
Physical Disabilities	546,695	551,576	4,881
Learning Disabilities	481,821	521,592	39,771
Section 28a Income Learning Disabilities	(20,808)	(20,808)	0
Mental Health	3,633	1,995	(1,638)
Net Cost	1,134,974	1,209,225	74,251
Other			
Sitting Service	322,391	292,563	(29,828)
Extra Care Sheltered Housing	563,751	511,670	(52,081)
-Less Contribution from Supporting People	(13,842)	(13,417)	425
Net Cost	872,300	790,816	(81,484)
Total Home Care Client Contributions	(1,610,092)	(1,644,596)	(34,504)
Sub Total	11,224,673	11,522,170	297,497
Resettlement			
External Funding			
Section 28a Income	(1,020,410)	(1,020,410)	0
Sub Total	(1,020,410)	(1,020,410)	0

	Revised Budget 2017/18	Projection	Over/(Under) Spend
	£	£	£
Supporting People (including transfers to Housing)			
People Over 55 Years of Age	820,759	876,729	55,970
People with Physical and/or Sensory Disabilities	55,731	61,140	5,409
People with Learning Disabilities	107,036	173,284	66,248
People with Mental Health issues	781,730	955,577	173,847
Families Supported People	501,952	536,257	34,305
Generic Floating support to prevent homelessness	852,638	858,867	6,229
Young People with support needs (16-24)	902,781	922,273	19,492
Single people with Support Needs (25-54)	377,556	401,193	23,637
Women experiencing Domestic Abuse	434,953	431,811	(3,142)
People with Substance Misuse Issues	265,908	297,622	31,714
Alarm Services (including in sheltered/extra care)	2,703	16,749	14,046
People with Criminal Offending History	39,551	39,467	(84)
Contribution to Independent Sector Supported Living	698,088	663,064	(35,024)
Contribution to In-House Supported Living	79,386	79,386	0
Contribution to Resettlement	152,448	165,811	13,363
Contribution to Adult Placement	132,252	138,700	6,448
Contribution to Extra Care	13,842	13,417	(425)
Contribution to Telecare	83,476	83,476	0
Newport CC funding transfer	(70,000)	(70,000)	0
Less supporting people grant	(6,232,790)	(6,172,790)	60,000
Sub Total	0	472,033	472,033
Other Costs			
Telecare Gross Cost	577,348	599,809	22,461
Less Client and Agency Income	(353,985)	(353,985)	0
-Less Contribution from Supporting People	(83,476)	(83,476)	0
Agreements with Voluntary Organisations			
Elderly	230,462	230,462	0
Physically Disabled	13,414	12,460	(954)
Learning Difficulties	113,067	60,904	(52,163)
Section 28a Income	(52,020)	(52,020)	0
Mental Health & Substance Misuse	138,364	136,149	(2,215)
MH Capacity Act / Deprivation of Libert Safeguards	72,809	72,809	0
Other	52,797	52,797	0
Wales Independent Living Expenditure	0	983,054	983,054
Wales Independent Living Grant	0	(1,009,909)	(1,009,909)
Gwent Enhanced Dementia Care Expenditure	284,167	279,692	(4,475)
Gwent Enhanced Dementia Care Grant	(209,692)	(209,692)	0
Intermediate Care Fund Contribution	(70,000)	(70,000)	0
Sub Total	713,255	649,054	(64,201)
Social Care Pressures			
Balance of Workforce Grant	0	239,718	239,718
Welsh Government Grant	(573,000)	(1,140,030)	(567,030)
Sub Total	(573,000)	(900,312)	(327,312)
TOTAL ADULT SERVICES	57,087,075	56,514,889	(572,186)

	Revised Budget 2017/18 £	Projection £	Over/(Under) Spend £
<u>SERVICE STRATEGY AND BUSINESS SUPPORT</u>			
Management and Administration			
Policy Development and Strategy	193,026	192,919	(107)
Business Support	860,159	832,074	(28,085)
Performance Management Consortium	70,659	55,872	(14,787)
Sub Total	1,123,844	1,080,865	(42,979)
Office Accommodation			
All Offices	573,177	539,781	(33,396)
Less Office Accommodation Recharge to HRA	(105,828)	(91,275)	14,553
Sub Total	467,349	448,506	(18,843)
Office Expenses			
All Offices	168,383	168,873	490
Sub Total	168,383	168,873	490
Other Costs			
Training	308,896	334,271	25,375
Publicity/Marketing/Complaints	23,217	23,217	0
Staff Support/Protection	59,295	6,490	(52,805)
Information Technology	10,967	18,113	7,146
Management Fees for Consortia	(55,558)	(55,558)	0
Insurances	252,510	252,510	0
Other Costs	234,533	234,533	0
Sub Total	833,860	813,576	(20,284)
TOTAL RESOURCING AND PERFORMANCE	2,593,436	2,511,820	(81,616)